

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) :	30/9/2016						
To : Hong Kong Exchanges a	nd Clea	ring Limited					
Name of Issuer Bright Smart Securities & Commodities Group Limited							
	5 Octobe		_				
I. Movements in Authorised S	Share Ca	apital					
1. Ordinary Shares							
(1) Stock code : 1428	Descrip	otion : Ordinary	Shares				
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)			
Balance at close of preceding	month	10,000,000,000	HK\$0.30	HK\$3,000,000,000			
Increase/(decrease)				<u> </u>			
Balance at close of the month	1	10,000,000,000	HK\$0.30	HK\$3,000,000,000			
(2) Stock code :	Descrip	otion :					
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)			
Balance at close of preceding	month						
Increase/(decrease)				<u> </u>			
Balance at close of the month	1	<u> </u>					

2. Preference Shares			
Stock code :Descript	tion :		_
	No. of preference shares		Authorised share capital (State currency)
Balance at close of preceding month_			
Increase/(decrease)			
Balance at close of the month _			
3. Other Classes of Shares			
Stock code :Descript	tion :		_
	classes of	(State	Authorised share capital (State currency)
Balance at close of preceding month _			
Increase/(decrease)			
Balance at close of the month _			

II. Movements in Issued Share Capital

	No. of ordin	ary shares	No of preference	No. of other
	(1)	(2)	shares	classes of shares
Balance at close of preceding month	1,696,796,308			
Increase/ (decrease) during the month	200,000			
Balance at close of the month	1,696,996,308			

III. Details of Movements in Issued Share Capital

Chare Options (an	dei Share Opti	on Schemes c	n the issuer)			
Particulars of						No. of new shares of
share option						issuer which may be
scheme					the month pursuant	issued pursuant
including EGM	N.	ovement durir	na the month		thereto	thereto as at close of
approval date		io voimonie dani	ig are menar		_	the month
(dd/mm/yyyy) and						
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share Option						
Scheme						
(04/08/2010)						
Ordinary shares						
Exercise price:						
HK\$1.29*	Nil	200,000	Nil	Nil	200,000	320,000
(Note 1)						320,000
(Note 1)	* The Options	are exercisable	during the perio	u 110m 2//10	0/2014 to 26/10/2017	
0 01 0 1						
2. Share Option						
Scheme						
(04/08/2010)						
Ordinary shares						
Exercise price:						
HK\$3.85**	Nil	Nil	Nil	340,000	Nil	9,870,000
(Note 1)	** The Option	s are exercisable	e during the peri	od from 23/0	06/2015 to 22/06/2018	
,	1		<i>U</i> 1			
3. —						
<u> </u>						
(Note 1)						
		Tota	IA. (Ordinar	y shares)	200,000	
			(Preferenc	e shares)		
			•	ner class)	_	
Total funds raised	during the mor	nth from exerci	•	/		
of options (State co		iti ilolli cxelol	00	HK\$	258,000	

Warrants to Issue Shares of the Description of warrants	e Issuer which Currency of nominal	Nomin at clo	al value ose of eding	Exercised during the	Nominal value at close of the	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the
(Date of expiry - dd/mm/yyyy)	value	mo	onth	month	month		month
1. — (/ /) Stock code (if listed) Class of shares issuable (Note 1)				_			
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. —	(/ /)					
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. —	(/ /)					
	_		_	_	_	_	_
Subscription price EGM approval date (if applicable)	(/ /						
Subscription price EGM approval date (if applicable)	(/ /					_	
			Total B	(Preferen	ary shares) ce shares) other class)		- -

Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. —	<u> </u>					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy))	(/ /)					
2. —	<u> </u>					
	<u> </u>					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3	(/ /)					
	_					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.—	(/ /)					
	<u> </u>					
EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /)		otal C. (Ordina (Preferen (O	ary shares) ce shares) ther class)	_	- - -

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Opti	ons (other than under Share Option Schemes)		
if a	ll particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	_		
	horo (Note 1)		
	shares (Note 1)		
2.	_		
	shares (Note 1)		
	Silaies (Note 1)		
3.			
	$(\ \ / \ \ / \ \)$		
	shares (Note 1)	_	_
	Total D. (Ordinary shares)	_	
	(Preference shares)	_	
	(Other class)	_	
	(Other class)		

Ot	her Movements	in Issued Sha	are Capital						
	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the month
				Class of shares issuable (Note 1)			_		
1.	Rights issue	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
				Class of shares issuable (Note 1)			_		
2.	Open offer	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		_
									_
				Class of shares issuable (Note 1)			_		
3.	Placing	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
									<u> </u>
				Class of shares issuable (Note 1)			_		
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		

	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares					
				issuable (Note 1)	, ,	,	,		
5.	Scrip dividend	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
				Class of shares repurchased (Note 1)					
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
				Class of shares redeemed (Note 1)					
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
				Class of shares issuable (Note 1)					
8.	Consideration issue	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/	1)		
				EGM approval date: (dd/mm/yyyy)	(/	1)		
									<u> </u>

	T			No. of no shares issuer issued during th month pursuar thereto	of issuer which may be issued he pursuant thereto as at nt close of the
	Type of Issue			lilerett	7 111011111
9.	Capital	Class of shares issuable (Note 1)		-	
	reorganisation	Issue and allotment date : (dd/mm/yyyy)	1 1)	
		EGM approval date: (dd/mm/yyyy)	1 1)	
		Class of shares - issuable (Note 1)		-	
10.	Other (Please specify) At price : State currency	Issue and allotment date : (dd/mm/yyyy)	1 1)	
		EGM approval date: (dd/mm/yyyy)	1 1		
		Total E.	. (Ordir	nary	
		•	sha ence sha Other cla		<u>-</u>
Тс	otal increase / (decrease) in ordinary shares duri	ng the month (i.e. Total of	A to E):	(1)	200,000
				(2)	_
	otal increase / (decrease) in preference shares d	=			
E		-			_
	hese figures should be the same as the relevan apital").)	t figures under II above ("I	Movemer	nts in Issued	l Share

Remarks (if any):	Remarks (if any):						
0.1							
Submitted by:	Chan Kwan Pak						
Title:	Company Secretary						
(Director, Sec	retary or other duly authorised officer)						

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.